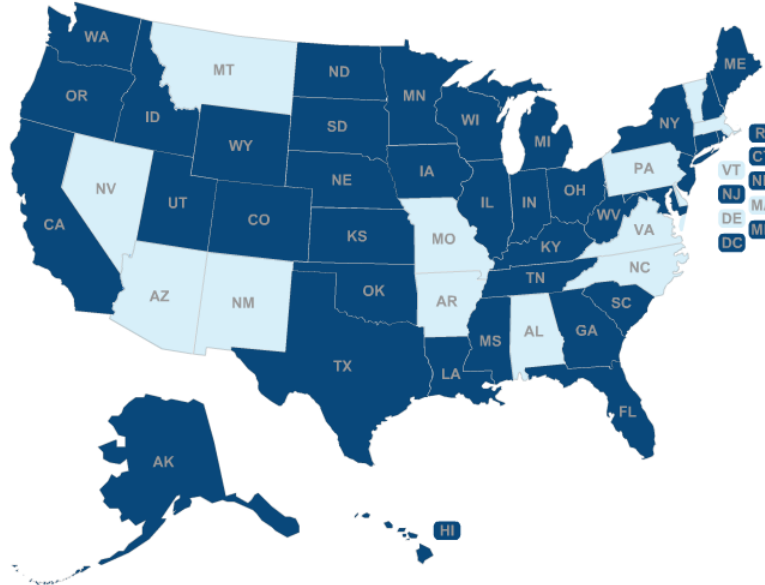


Continuing Education Required to Sell Annuities

- Current Requirement
- Pending Legislation
- No Current Requirement



Annuity Transaction Suitability Certificate

These states require the Annuity education course. It depends on your state, but most require a 4 hour NAIC Annuity Transaction Suitability course. We need a copy of your certificate along with the contracting kit attached.

Anti- Money Laundering Certificate Screenshot

Logon to branchNet

Go to Resource Center

REGED

Under Program Status in the gray bar on the left side, click on the LPL Risk Management, Anti Money Laundering/Fraud and Branch Office Security Policy Training. Next to this topic, to the right, under Requirement click Risk Management Training. Then click Proceed. Under My Courses click on the tab that says Course History. Then go to LPL 2015 Risk Management, Anti Money Laundering/Fraud and Branch Office Security Policy Training, click Print Record. Screen shot your certificate and send back with your contracting paperwork.

